

ESCALATION CHEAT SHEET

Each of our MLS Rules falls into one of the categories below. These escalation paths are for one rolling year from the first violation.

CATEGORY 1

Relating to any listing information provided by a participant or subscriber.

1. First Notice
2. Second Notice
3. Third Notice
4. Final Warning

5. Required Workshop
6. Escalating Monetary Penalties
7. Mandatory Hearing with Broker in Attendance

CATEGORY 2

Relating to mandatory submission of listings to the service

1. Courtesy Notice and Monetary Penalty
2. If the Seller's Authorization to Exclude form is not provided, penalties escalate for non-response.

CATEGORY 3

Relating to any IDX and VOW display rules.

1. First Warning
2. Required Workshop
3. Escalating Monetary Penalties
4. Mandatory Hearing with Broker in Attendance
5. Suspension of Services

CATEGORY 4

Relating to listing copyright violations and offers of cooperative compensation.

1. First Warning
2. Second Warning
3. Mandatory Hearing with Broker in Attendance and Escalating Monetary Penalties
4. Suspension of Services
5. Termination of Services

CATEGORY 5

Relating to misuse of MLS data and tools and unauthorized MLS access

1. Remedial Action
2. Mandatory Hearing with Broker in Attendance
3. Potential Escalations of: Monetary Penalties, Workshops, Suspension of Services, or Termination of Services

Please Note: This graphic is intended to give a high-level representation of the overall escalation process and does not represent all category violations. For a more in-depth look at the escalation process, please review UnlockMLS Rules and Regulations Appendix C for more information.